

Nomination Form

A. Nominator

Nomination by a third party*
(please complete all sections of this Form)

Self Nomination
(please complete sections B & C, then complete the Entry Form)

Information about the Nominator

Name in English:

Name in Chinese (if applicable):

Company:

Position:

Relationship with Nominee Company:

Phone No.:

Fax:

E-mail:

Signature of Nominator:

Date:

* The nominator may forward the completed Nomination Form together with the Entry Form directly to the nominee company for completion. Alternatively, the nominator may submit the completed Nomination Form to the Award Organising Committee (AOC) by fax to (852) 3411 2046 at least one month before the closing date (i.e. Tuesday, July 28, 2020) for the AOC to contact the nominee company with sufficient time.

B. Nominee Company Information

Company Name in English:

Company Name in Chinese:

Sector/ Nature of Business:

Stock Code:

Address:

Contact Person (if known):

Phone:

Fax:

Website:

C. Award Category

Corporate Governance Excellence Awards

Each company can be nominated for **one** of the following categories:

1. **Hang Seng Index Constituent Companies**

2. **Hang Seng Composite Index Constituent Companies** (excluding Category 1 Companies)

3. **Hang Seng China (Hong Kong - listed) 100 Index Constituent Companies** (excluding Category 1 Companies)

4. **Other & GEM Companies**

5. **Newly listed Companies***

* Being companies listed within 3 years before the year of award nomination and have published at least 2 annual reports

Each candidate company can only choose to enter into one Award category

Special prizes or honourable mentions may also be awarded to honour those companies that have demonstrated exceptional commitment and achievement in a particular area of corporate governance as stated in the "Judging/Criteria" section of this website.

Companies entered for the Corporate Governance Excellence Awards will be considered for the **Sustainability Excellence Awards**** at the discretion of the Judging Panel. For more details of sustainability awards, please refer to the "Judging / Criteria" section of the Awards website.

** Requires no separate application or nomination

Entry Form (To be filled by Nominee Company)

A. Contact Person Submitting the Entry

Addressing Title (Mr/Mrs/Ms/Dr/Prof etc):

Name in English:

Name in Chinese (if applicable):

Position in the Company:

Phone:

Fax:

Email:

B. Questionnaire

Companies shall complete a questionnaire that can be downloaded from the "Application Procedure and Forms Section" of the Awards website, and submit it together with this Entry Form.

Companies entered for the Corporate Governance Excellence Awards will be considered for the **Sustainability Excellence Awards** at the discretion of the Judging Panel. For more details of sustainability awards, please refer to the "Judging / Criteria" section of the Awards website.

Special attention will be paid to the following three areas:

1. Innovations and significant improvements in corporate governance / sustainability made over the last three years.
2. Efforts made to internalize and pursue the highest principles of corporate governance / sustainability over-and-beyond compliance with requirements.
3. Ability to show positive business impact of the corporate governance / sustainability spirit and practices.

Companies shortlisted for the Judging Panel interview are encouraged to provide objective evidence and concrete examples to substantiate the above to facilitate final evaluation.

Companies are also encouraged to demonstrate their efforts in adhering to or exceed the latest rules and regulations governing listed companies and directors, such as Board Diversity and ESG Reporting, and Code of Corporate Governance Practicing, etc.

C. Provision of Supporting Material

Please provide the latest audited annual reports and any other further information that will support and substantiate your application.

D. Declaration & Signature

My company wishes to be considered for the Hong Kong Corporate Governance Excellence Awards 2020. I declare that the information submitted in the award Entry Form and the Questionnaire are accurate to the best of my knowledge and agree that the decisions made by the organizer and the judging panel are final and binding in all aspects relating to the Hong Kong Corporate Governance Excellence Awards 2020. My company agrees to comply with the terms and conditions specified in the Award Scheme.

Signature of representative for and on behalf of the nominee company:

Name in Block Letters:

Title:

Date:

E. Administration Fee

An Administration Fee of HK\$2,800 is applicable for each entry. Payment of the entry fee shall be made by cheque payable to "The Chamber of Hong Kong Listed Companies". The cheque should be attached to the completed entry form at time of submission. Alternatively, payment can be made via bank transfer, received on or before the submission deadline. (Please note that there is a bank service charge for a bank transfer which must be paid by the sender.) The bank account details are as follows:

Bank: Standard Chartered Bank (HK) Ltd.

Bank Address: 4 - 4A, Des Voeux Road, Central, Hong Kong

Beneficiary Account: 447-1-089308-9

Beneficiary: The Chamber of Hong Kong Listed Companies

Completed Nomination Form and/or Entry Form with the Questionnaire and supporting material shall be sent to:

Awards Secretariat

Award Organising Committee

The Hong Kong Corporate Governance Excellence Awards

c/o Centre for Corporate Governance and Financial Policy

School of Business, Hong Kong Baptist University

Room 705D, Wing Lung Bank Building for Business Studies

34 Renfrew Road, Kowloon Tong

Hong Kong

E-mail: ccgfp@hkbu.edu.hk Phone: (852) 3411 5728

Important:

Last date of submission of entry is
Friday, August 28, 2020